

## PATENT APPLICATION TRANSMITTAL LETTER

To the Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of: Cangshan Xu et al. for : WINDOWLESS BELT AND METHOD FOR

IMPROVED IN-SITU WAFER MONITORING. Enclosed are:

2 sheet(s) of drawings, 16 pages of application (including title page), and the following Appendices : \_\_\_\_\_.

Declaration.

Power of Attorney.

Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.

Assignment transmittal letter and Assignment of the invention to : \_\_\_\_\_.

Claims as Filed	Col. 1	Col. 2
For	No. Filed	No. Extra
Basic Fee		
Total Claims	-20	
Indep. Claims	-3	
Multiple Dependent Claims Present		

If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 380
x\$9=	\$
x\$39=	\$
+\$130=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$ 760
x\$18=	\$
x\$78=	\$
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Total	\$

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR UNITED STATES LETTERS PATENT

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TITLE:

WINDOWLESS BELT AND METHOD  
FOR IMPROVED IN-SITU WAFER  
MONITORING

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## WINDOWLESS BELT AND METHOD FOR IMPROVED IN-SITU WAFER MONITORING

### BACKGROUND OF THE INVENTION

The present invention relates generally to equipment for processing wafers. More particularly, the present invention relates to a belt for chemical mechanical polishing of semiconductor wafers.

Chemical mechanical polishing (CMP) is used for planarizing semiconductor wafers. Many steps in the manufacture of semiconductor devices produce a highly irregular surface on the wafer. In order to improve the manufacturability of semiconductors on the wafer, the wafer surface is planarized. For example, to improve the uniformity of deposition of a metal interconnect layer, the wafer is planarized to reduce the peaks and valleys on the surface.

In conventional planarization technology, a semiconductor wafer is supported face down against a moving polishing pad. Two types of polishing or planarizing apparatus are commonly used. In rotary planarizing technology, a wafer is secured on a chuck and brought into contact with a flat polishing pad mounted on a rotating table which forms the polishing surface. In linear planarizing technology, an endless belt travels over two or more rollers. The wafer is placed against the polishing surface of the belt. An example of such a system is the Teres<sup>TM</sup> CMP System manufactured by Lam Research Corporation, Fremont, California.

Often it is desired for the surface of the wafer to be monitored during polishing. Typically, an optical window is provided on the belt. A beam of light is passed through the optical window. The beam of light reflects off of the wafer through the window and the reflected light is measured.

The optical window prevents slurry from passing through the belt to the platen, where it could dry and foul or scratch the platen, block the optics or clog the fluid bearing holes. Some optical windows are designed to prevent pooling of the slurry on the window so that the light beam is not interfered with by the slurry.

However, the optical window may alter or filter the beam of light. Inconsistencies in window material may lead to inconsistent monitoring of the surface of the wafer. For windows designed to flex towards the wafer when air pressure is applied, inconsistent window materials or thickness or positioning of the window in the belt may lead to inconsistent monitoring. Slurry pooling or build-up of dry slurry in the window may also cause inconsistent monitoring or scratching of the delicate and valuable wafers. Inconsistent monitoring may not allow for the maximum effectiveness of detecting the end point of planarization. In addition, in many cases, physical failure of the window ruins the belt which then needs to be replaced, regardless of the actual condition of the polishing surface of the belt.

Accordingly, there is a need in the art for an improved polishing belt for CMP systems that allows for more accurately and more reliably monitoring of a wafer surface, without allowing damage to the wafer or processing equipment.

## SUMMARY OF THE INVENTION

By way of introduction only, an improved polishing belt for a chemical mechanical planarization (CMP) system is provided. The belt has an aperture through the belt so that the belt is free of a window. The light beam passes through the aperture unobstructed. Slurry is prevented from drying and cleared by applying water to the platen, or underside the belt. By using the aperture through the belt, more reliable monitoring is provided. The belt is also cheaper and simpler to manufacture which results in higher quality belts at lower cost. As a direct result of the absence of a window in the belt, less maintenance is needed, reducing belt failure or defects on delicate, valuable wafers.

In a first aspect, a belt comprising (1) a polishing surface for polishing a workpiece in a chemical mechanical linear polishing system and (2) a side opposite the polishing surface is provided. The belt forms an endless loop and is improved with at least one aperture through the belt.

In a second aspect, a system for polishing a workpiece in a chemical mechanical polishing process is provided. The system includes a monitor. An endless belt is adjacent to the monitor. The endless belt has at least one aperture

through the belt. A path through the aperture from the workpiece to the monitor is unobstructed.

In a third aspect, a method for polishing a workpiece in a chemical mechanical polishing process is provided. An endless belt passes along a workpiece. The endless belt has an aperture through the belt. A property of the workpiece is measured through the aperture. A path through the aperture to the workpiece is unobstructed by the aperture.

The foregoing discussion of the preferred embodiments is provided only by way of introduction. Nothing in this section should be taken as a limitation on the following claims, which define the scope of the invention.

## BRIEF DESCRIPTION OF SEVERAL VIEWS OF THE DRAWINGS

Figure 1 is a perspective view of a preferred embodiment of a linear chemical mechanical polishing system;

Figure 2 is a perspective view of a preferred embodiment a portion of a belt for use in the system of FIG. 1; and

Figure 3 is a flow diagram illustrating a preferred embodiment of a method for polishing a workpiece.

## DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

The preferred embodiments include a belt with an aperture through the belt so that the belt and aperture are free of a window. The aperture allows monitoring of the surface of a workpiece being polished without obstruction by an optical window. Forced air and/or water from a platen prevent the slurry from blocking fluid bearing holes in the platen and the optics and from otherwise fouling the platen surface.

Figure 1 is a perspective view of a linear chemical mechanical polishing or planarization (CMP) system 10 for polishing a workpiece. The system 10 includes a belt 12, a first roller 14, a second roller 13, a platen 25, a polishing head 18, a slurry dispenser 21, a conditioner 20, a monitor 28 and a controller 30. The

system 10 in the illustrated embodiment is adapted for planarization of workpieces, such as the semiconductor wafer 11. However, the operative principles embodied in the system 10 may be applied to chemical mechanical polishing of other workpieces as well.

5           The rollers 13, 14 are located a predetermined distance apart to tension and move the belt 12. The rollers 13, 14 are preferably between about 2 to 40 inches in diameter. Movement of the belt 12 linearly planarizes the wafer 11. One or both of the rollers 13, 14 are rotated, for example, by an electric motor in the direction indicated by the arrows 16. The rollers 13, 14 move the belt past the  
10           wafer 11. Preferably, the belt 12 moves at a rate of about 10 to 1000 ft/minute (most preferably about 100-400 ft/minute). Other transport means include combinations of wheels, pulleys and tensioning devices which maintain proper tension on the belt 12, along with associated drive elements such as electric motors and mechanical linkages.

15           Operational parameters such as the speed and tension of the belt 12 are controlled by the controller 30. The controller 30 comprises a processor or other computing device which operates in response to data and instructions stored in an associated memory.

20           In operation, the wafer 11 is mounted on the polishing head 18. The wafer 11 is mounted and retained in place by vacuum force, a retainer ring or by any other suitable technique. Preferably, a carrier film is used between the wafer 11 and the polishing head 18. The polishing head 18 is mounted on an arm and is movable under control of the controller 30. For example, the polishing head 18 rotates over the belt 12. The polishing head 18 applies a polishing  
25           pressure to the wafer 11 against the belt 12, such as a pressure of about 1-15 psi (e.g. 5 psi).

30           To further control the polishing pressure, the platen 25 is located opposite the polishing head 18 below the wafer 11. Preferably, the platen 25 comprises a fluid platen. In one embodiment, 1-250 ml per minute of water is provided at the center of the platen 25 and air pressure is provided in concentric rings radially outward from the center. The center, fluid portion of the preferred platen 25

comprises an area and shape corresponding to apertures 40 in the belt 12 as discussed below. Fluid platens are described in U.S. Application Serial No. 08/638,462, filed April 26, 1996 and in U.S. Patent Nos. 5,558,568 and 5,593,344, all of which are incorporated herein by reference. Other types of platens for controlling the polishing pressure may be used, such as mechanism's employing air pressure, water pressure, pressure from mechanical attachments, electromagnetic pressure or combinations thereof.

The belt 12 passes between the front surface of the wafer 11 and the platen 25. The platen 25 applies pressure to the belt 12. In some applications, the platen 25 is arranged to apply pressure in controllable zones or areas of the platen 25 under control of the controller 30. For example, 1-30 zones are arranged radially on the surface of the platen 25. This controlled application of pressure allows the belt 12 to polish uniformly across the surface of the wafer 11. Preferably, a pre-wet layer of de-ionized water mist is used between the belt 12 and the platen 25 to help prevent blockage of the flow channels by slurry.

The slurry dispenser 21 dispenses a slurry onto the belt 12, preferably at a flow rate of about 5-500 ml/minute. For uniform planarization or polishing, the slurry is distributed evenly across the surface of the wafer 11. Generally, the slurry includes two components. Different applications or materials use different components depending on the material to be removed or polished. For example, the slurry components for planarizing a silicon dioxide layer on the surface of the wafer 11 differ from the slurry components for planarizing a metal layer on the surface. Similarly, the slurry components appropriate for a tungsten metal layer are different from the components for a copper layer, which is softer than tungsten. In most cases, abrasive particles, such as silicon dioxide or alumina, are combined with a chemical, such as potassium hydroxide or  $\text{NH}_4\text{OH}$  for  $\text{SiO}_2$  or  $\text{H}_2\text{O}$ ,  $\text{KIO}_3$  or  $\text{Fe}(\text{NO}_3)_3$  for metal. However, chemical solutions containing no undissolved particles can be used. In these cases, abrasive particles may be incorporated in the polishing belt. The chemical softens or hydrates the surface, and the abrasive particles remove the surface material. In one embodiment, the

slurry has a pH of about 1.5 to 12. One type of slurry is Klebesol available from Hoechst.

The conditioner 20 treats the surface of the belt 12 to keep the belt's roughness or abrasiveness relatively constant. As the belt 12 planarizes or polishes the wafer 11, the material removed from the wafer 11 deposits on the surface of the belt 12. The conditioner 20 cleans and roughens the surface of the belt 12 to remove deposits and prevent deformation of the belt 12.

The belt 12 is preferably an endless loop polishing belt. In one embodiment, the belt 12 is 8-14 inches wide, 0.020-0.200 inches thick, about 90-110 inches long and held by the rollers 13, 14 at 500-5000 pounds of tension. In the illustrated embodiment, the belt 12 is sized for use with the Teres<sup>TM</sup> CMP system available from Lam Research Corporation, Fremont, California.

The belt 12 has any suitable dimensions necessary for effective operation. Different polishing tools may require different belt lengths and widths. Different workpiece sizes may require different belt widths. Also, different types of polishing may require different properties: overall thicknesses, density, hardness, compressibility, elasticity and different relative thicknesses of multiple layers. Either the top or bottom surfaces of the belt 12 can be convex or concave or otherwise shaped to match the profile of the workpiece being polished or to match the rollers or supporting structures below the belt 12.

Various types of belts 12 may be used, such as (1) single or multi-layer belts, (2) belts reinforced with stainless steel, fibers or fabrics or (3) belts without such reinforcing, or (4) other known or yet to be developed belts such as belts made by adhering polishing pads to a supporting belt or band of stainless steel or other material with the desired properties. In one embodiment, the belt 12 is made with a single endless layer which provides both the surface for polishing and the mechanical strength for mounting, tensioning and tracking the belt without additional reinforcement. A polymeric layer, such as microcellular urethane, forms the endless loop. The polymeric material is of a substantially uniform thickness and structure. The belt 12 should be sufficiently elastic to maintain



tension during use, i.e., not to relax and loosen during use. The belt 12 may be expected to operate at temperatures ranging from -60 to +150 °C.

In an alternative embodiment, the belt 12 has multiple layers. For example, a second layer can be combined with a polymeric polishing layer. The additional layers can be made of any suitable polymeric material including rubbers or plastics. Generally, the different layers are made of different materials and have different properties, structures, dimensions, and functions. In one example, a two-layer belt 12 has a top polishing layer as described below and a polymeric bottom or opposite layer. A softer underlayer beneath a harder polishing layer increases the overall rigidity of the belt 12 and allows enough softness so that the polishing layer flexes as to conform to the surface of the wafer 11.

The belt 12 has a polishing surface 15 on one side of the endless loop and an opposite or bottom surface 17 on the other side of the endless loop. The belt has edges 19 connecting the polishing surface 15 and the opposite surface 17. Typically, the outside or top surface of the belt 12 is the polishing surface 15, although the inside surface may be the polishing surface 15. In addition, the belt 12 may be reversible, and where both surfaces are used for polishing at the same or different times. The two surfaces may be used for different types of polishing operations, and multiple layer belts may comprise different materials tailored to different polishing applications.

In one embodiment, the polishing surface 15 of the belt 12 has a plurality of grooves. For example, the grooves are 0.005 - 0.100 inch deep with a width of .005 - .100 inch and a pitch of 1-50 per inch. Other groove parameters may be used, such as smaller grooves for transporting slurry under the wafer 11. The grooves are in any of various configurations, such as linear, rectangular, U or V shapes. The opposite surface 17 may be smooth or textured. The opposite surface 17 may have grooves or ridges or other physical features that allow the belt 12 to mate properly with the rollers 13, 14. The texturing and physical features on the surfaces of the belt 12 may be molded in or may be achieved in

secondary manufacturing operations. In alternative embodiments, no grooves or textures are provided.

Other textures for the polishing surface 15 may be provided as an alternative to or in addition to grooves. The polishing layer can be solid or cellular. A solid layer is preferably uniformly solid throughout its length and cross section. Cellular polymers include voids or porosity which carries the slurry to the surface of the wafer 11. The cells may be open or closed and can be formed by any suitable means, including but not limited to blowing, expansion, frothing, and inclusion of hollow microelements. In one application, the polymeric material is a microcellular polyurethane having cells or voids on the order of 0.1 to 1000 micrometers in size. The polishing layer can include various additives, including but not limited to lubricants and abrasive particles.

To allow the use of a lower concentration of abrasive particles in the slurry, fillers and/or abrasive particles, such as with an average size less than 100 microns, may be dispersed throughout the polishing layer. A lower concentration in the slurry provides for less light scattering, providing for more accurate monitoring.

The polishing material can be made of any suitable material including rubbers or plastics. Examples of rubbers and plastics include but are not limited to, polyurethanes, polyureas, polyesters, polyethers, epoxies, polyamides, polycarbonates, polyethylenes, polypropylenes, fluoropolymers, vinyl polymers, acrylic and methacrylic polymers, silicones, latexes, nitrile rubbers, isoprene rubbers, butadiene rubbers, and various copolymers of styrene, butadiene, and acrylonitrile. The polymeric material can be thermoset or thermoplastic.

Referring to Figures 1 and 2, an aperture 40 is shown in the belt 12. The aperture 40 is through the belt 12, penetrating all layers of the belt 12. There is no obstruction, such as the prior art window formed or inserted in the belt 12. Thus, the belt 12 or aperture 40 is free of a window. By passing through the belt 12, the aperture 40 allows direct in-situ monitoring of the surface of the wafer 11. The aperture 40 is formed by punching or machining, such as laser cutting, the

aperture 40 in the belt 12 or by forming the aperture 40 as part of the process of molding or forming the belt 12.

One or more apertures 40 may be provided through the belt 12. Preferably, three apertures 40 are evenly spaced apart along the length of the belt 12. The apertures are positioned in the center of the belt 12 between the edges 19. In one embodiment, each aperture is a 0.25" - 2" inch diameter circle shape. Preferably, the shape has few or no corners, such as elliptical or oval, to prevent trapping dried slurry. Shapes with corners may be used. Other shapes, sizes, placements and numbers of apertures 40 may be used.

The monitor 28 uses the aperture 40 to measure a property of the wafer 11. The monitor 28 comprises a light emitting and reception device for determining the type of film and/or film thickness of the surface of the wafer 11. For example, a short-distance diffuse reflex sensor, such as a Sunx model number CX-24 sensor, is used. A broad band beam comprising white light is emitted. Other wavelengths may be used, such as ultraviolet or infrared. Other monitors may be used, such as monitors disclosed in U.S. Application Serial No. 09/038,171. The term "monitor" is intended broadly to encompass any device that can be used for in-situ monitoring of a wafer during CMP processing. Such devices include, but are not limited to, a light source, interferometer, ellipsometer, beam profile reflectometer, or optical stress generator. By measuring the property, the end point of the CMP process is determined. Removal rate, rate variation, and average removal rate may also be measured.

The monitor 28 is positioned adjacent the belt 12. Preferably, the monitor 28 is positioned below the platen 25. The monitor 28 directs a beam, such as a light beam, through a window in the platen 25, through the aperture 40 and onto the wafer 11. The beam is reflected back along the same path for measurement. In alternative embodiments, the monitor is positioned above or adjacent to the platen 25 or at other positions adjacent the belt 12.

In one embodiment, the monitor 28 is activated to measure when each aperture 40 is between the monitor 28 and the wafer 11. The monitor 28 is activated in response to a trigger mechanism. For example, a notch or trigger

aperture 42 is provided along the edge 19 or at another portion of the belt 12. As the aperture 40 passes the monitor 28, the trigger aperture or notch 42 engages a sensor to indicate that the aperture 40 is adjacent the wafer 11. In response, the monitor 28 shines light or other energy on the belt 12 in the vicinity of the aperture 40. As the aperture 40 passes by the wafer 11, the monitor 28 measures the light or other energy reflected back from the wafer 11. By measuring the energy and its variation, the measuring system provides an indication of the polishing progress of the CMP system 10. The trigger aperture or notch 42 may be placed with any relation to the aperture 40. Further, a trigger aperture or notch 42 may be provided for each aperture 40 in the belt 12. In alternative embodiments, the monitor 28 is continuously active.

In addition to any notches 42, the edges 19 of the belt 12 are smooth, textured, or patterned. The edges 19 may contain holes or other physical features that serve other functional purposes, such as aiding in alignment and tracking of the belt in use or such as aiding in counting revolutions. The edges 19 of the belt 12 are formed during molding or created in a secondary manufacturing operation, such as cutting, drilling, lathing or punching.

In operation, the wafer 11 is positioned adjacent to the belt 12. The belt 12 polishes the wafer 11 as the rollers 13, 14 rotate. The slurry dispenser 21 places slurry on the belt 12. Some of the slurry passes under the wafer 11. The wafer 11 moves some slurry to either side or edge of the belt 12. The conditioner 20 conditions the belt 12 after polishing. Using water, air or other pressure devices, the platen 25 applies pressure to the belt 12. The pressure ensures optimal pressure distributions and proper contact between the polishing surface 15 and the wafer 11.

Figure 3 shows a flow chart representing one embodiment of the CMP monitoring process. To monitor polishing, the apertures 40 pass between the wafer 11 and the monitor 28 in step 50. As one of the notches 42 passes a sensor, the monitor 28 is activated. The sensor is positioned so that the monitor 28 is triggered while the corresponding aperture 40 is between the monitor 28 and the wafer 11. Where more than one aperture 40 is provided, each aperture 40 passes

along the wafer 11. The monitor 28 is triggered for each aperture 40. Upon activation, the monitor 40 directs a beam, such as a beam of light, at the wafer 11. Based on reflections, a property of the wafer 11 is detected in step 52.

To prevent dry slurry from blocking the optics or from scratching or clogging portions of the platen and fluid bearing holes, air and water are preferably used by the platen 25 to apply pressure to the belt 12. The water pre-wets the center of the platen 25. The water keeps the slurry from drying on the platen 25, from drying in the platen orifices where fluid (e.g. air or water) passes through to form the fluid bearing, and prevents scratches or film formation on the platen window. The pressure, whether water, air or pressure applied by other means, may keep the slurry from draining through the aperture 40 onto the monitor 28 or platen 25. The pressure may force some of the slurry onto the polishing surface 15 of the belt 12 adjacent to the wafer 11. In alternative embodiments, the dry slurry is prevented from falling through aperture 40. In yet other alternative embodiments, suction or gravity is used to pull slurry away from the aperture and prevent damaging or hindering performance of the platen. In yet other alternative embodiments, humidified air may be used to apply pressure to the underside of the belt 12 and to prevent slurry from drying or fouling the platen 25.

As can be seen from the foregoing, the present embodiments provide an improved chemical mechanical polishing belt and a method for using the belt. The belt does not require window materials. The obstruction free aperture through the belt allows for more reliable monitoring, reduced cost of manufacture, improved consistency for monitoring with different belts, easier maintenance, and reduced risk of failure. The monitoring beam is not filtered or reflected by any window where windows with different filtering and reflection properties are used on the same or different belts.

While a particular embodiment of the present invention has been shown and described, modifications may be made. It is therefore intended in the appended claims to cover all such changes and modifications which follow in the true spirit and scope of the invention.

## WE CLAIM:

1. A belt comprising (a) a polishing surface for polishing a workpiece in a chemical mechanical linear polishing system and (b) a side opposite the polishing surface, the belt forming an endless loop, an improvement comprising at least one aperture through the belt so that the aperture is substantially free of a window.

2. The belt of Claim 1 wherein the belt has two substantially parallel edges and the aperture is centered between the two substantially parallel edges.

3. The belt of Claim 1 wherein the aperture comprises a substantially circular shape.

4. The belt of Claim 1 wherein the belt has at least three apertures through the belt.

5. The belt of Claim 4 wherein the at least three apertures are spaced evenly around the endless loop.

6. The belt of Claim 1 further comprising a notch along a first edge of the belt, the notch positioned relative to the aperture.

7. The belt of Claim 1 further comprising a trigger aperture positioned relative to the aperture.

8. A system for polishing a workpiece in a chemical mechanical polishing process, the system comprising:  
a monitor; and  
an endless belt positioned adjacent to the monitor, the endless belt having at least one aperture through the belt wherein a path through the aperture

from the workpiece to the monitor is unobstructed, the aperture being substantially free of a window.

9. The system of Claim 8 wherein the endless belt has two substantially parallel edges and the aperture is centered between the two substantially parallel edges.

10. The system of Claim 8 wherein the endless belt has at least three apertures.

11. The system of Claim 8 wherein the endless belt has a notch or trigger aperture along a first edge of the belt, the notch or trigger aperture positioned relative to the aperture, wherein activation of the monitor is responsive to a position of the notch or trigger aperture relative to the workpiece.

12. The system of Claim 8 further comprising a slurry dispenser positioned adjacent to a polishing side of the endless belt.

13. The system of Claim 12 further comprising a platen adapted to apply water to the endless belt.

14. The system of Claim 13 wherein the water is operable to prevent drying of the slurry and to substantially clear a platen of slurry.

15. The system of Claim 13 wherein the platen is adapted to apply air to the endless belt.

16. A method for polishing a workpiece in a chemical mechanical polishing process, the method comprising the steps of:

(a) passing an endless belt along a workpiece, the endless belt having an aperture through the belt, the aperture being free of a window; and

(b) measuring a property of the workpiece through the aperture, a path through the aperture for measuring the workpiece being unobstructed by the aperture in the belt.

17. The method of Claim 16 wherein step (a) comprises passing a plurality of spaced apart apertures along the workpiece.

18. The method of Claim 16 wherein step (b) comprises directing a beam of light at the workpiece through the aperture.

5 19. The method of Claim 16 further comprising:  
(c) triggering step (b) in response to a position of a trigger notch or aperture relative to the workpiece.

20. The method of Claim 16 further comprising:  
(c) applying slurry to a polishing side of the endless belt, a  
10 portion of the polishing side being adjacent to the workpiece.

21. The method of Claim 20 further comprising:  
(d) applying pressure to the endless belt with water.

22. The method of Claim 21 further comprising:  
(e) preventing blockage by dry slurry in aperture on a platen  
15 with the water of step (d); and  
(f) clearing slurry from the aperture on the platen with the water of step (d).

23. The method of Claim 21 further comprising:  
(e) applying pressure to the endless belt with air.



## ABSTRACT OF THE DISCLOSURE

A belt for polishing a workpiece such as a semiconductor wafer in a chemical mechanical polishing system includes an endless belt. The belt has an aperture. The aperture is unobstructed, such as using no window.

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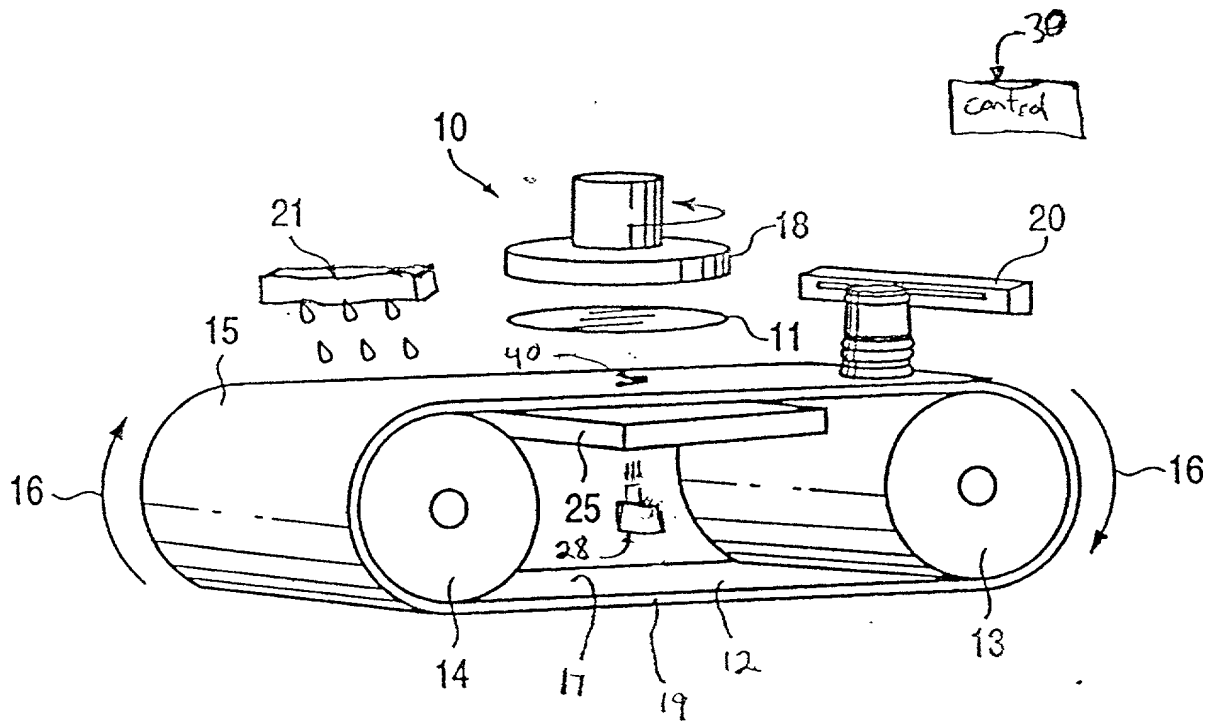


FIG. 1

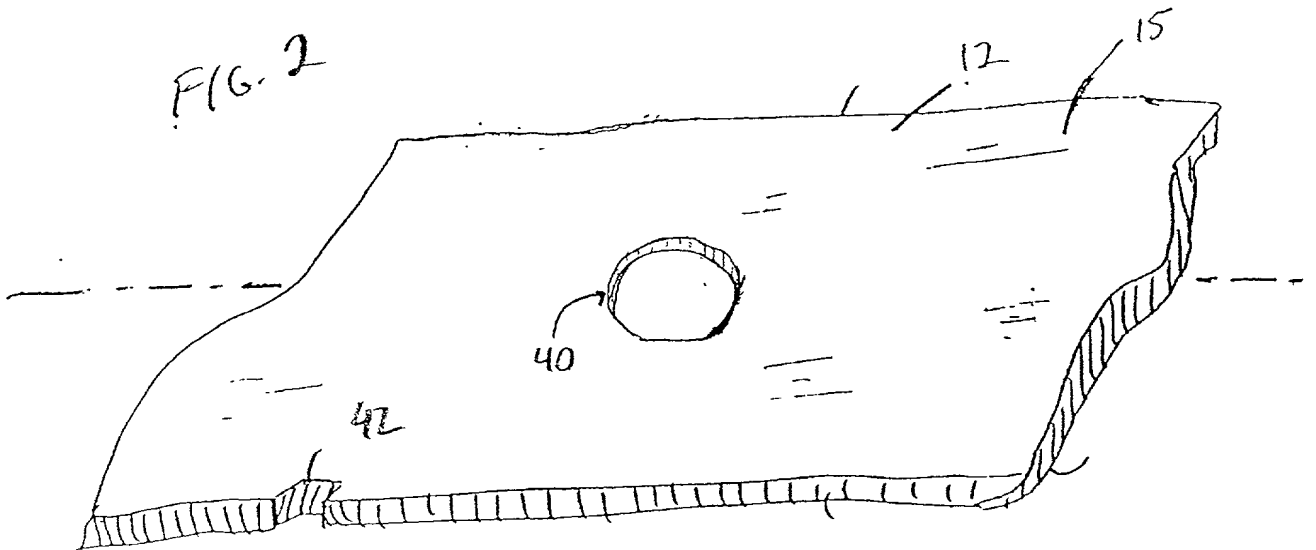
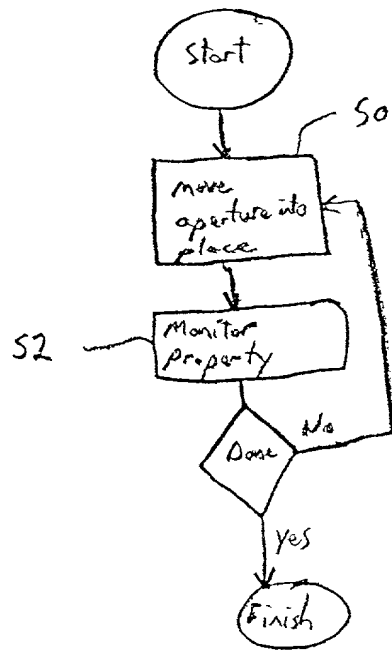


FIG. 2

Figure 3



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